FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, D.C. 20 |)549 |
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| heck this box if no longer subject |
|------------------------------------|
| Section 16. Form 4 or Form 5 |
| bligations may continue. See |
| etruction 1(h) |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Brown Kyle Steven | | | | 2. Issuer Name and Ticker or Trading Symbol Trinity Capital Inc. [TRIN] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | | |
|---|---|---------------------------------|----------|---|---|---|----------|--|-----------------|-----------|---|---|--|---|---|---|---|-------------------|
| (Last) | (Fii | , | Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/15/2023 | | | | | | | | | Office below | • | Othe belo t and CIO | er (specify w) |
| 1 N. 1ST STREET, 3RD FLOOR | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| (Street) PHOENIX AZ 85004 | | | | | | | | | | | | | X Form filed by One Rep Form filed by More that Person | | | | | |
| (City) (State) (Zip) | | | l_ | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to | | | | | | | | | | | | ntended to | | |
| | | | | | | atisfy t | he affir | mative (| defense o | condition | ons of Rule 10 |)b5-1(c) | . See | Instructio | n 10. | | Sir prair triat io | |
| | | Table | l - No | | | 1 | | | 1 | Dis | posed of, | | | | 1 | | <u> </u> | |
| 1. Title of Security (Instr. 3) | | 2. Transac Date (Month/Da | | Exec if any | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired Disposed Of (D) (Instr. 5) | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | of Indirect t Beneficial Ownership | | | |
| | | | | | | | | Code | v | Amount | (A) (D) | | | | or P | rice | (Instr. 4) | |
| Common | Stock | | | 09/15/2023 | | 23 | | F ⁽¹⁾ | | 12,585 | D | 1 | 614.78 | 827, | 922(2)(3) | D | | |
| Common Stock | | | | | | | | | | | | | | 5: | 1,819 | I | By The Kyle and Amy Brown Family Trust, dated February 4, 2019 | |
| Common Stock | | | | | | | | | | | | | | 10 | . 82 5 ⁽²⁾ | I | By KBIZ Corp., which Mr. K. Brown solely owns and controls | |
| | | Та | ble II - | | | | | | | | osed of, c | | | | Owne | d | | |
| 1. Title of Derivative Security (Instr. 3) | L. Title of 2. 3. Transaction Date Execution or Exercise (Month/Day/Year) | | emed | 4. Transacti Code (Ins | | 5. Number of | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) Amount or Number | | 8. I De Se (In | Price of rivative curity str. 5) | 9. Number derivative Securities Beneficiall Owned Following Reported Transaction (Instr. 4) | Owners Form: Direct (I or Indire (I) (Instr | Beneficial Ownership ect (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Date Exercis | able | Expiration Date | Title | of Shar | | | | | |

Explanation of Responses:

- 1. Shares withheld to satisfy the reporting person's tax obligations in connection with vesting of restricted shares on September 15, 2023. Transaction exempt from Section 16(b) pursuant to Rule 16b-3.
- $2. \ Includes \ shares \ acquired \ pursuant \ to \ Trinity \ Capital \ Inc.'s \ distribution \ reinvestment \ plan.$
- 3. Includes (1) 208,092 restricted shares issued under the 2019 Trinity Capital Inc. Long Term Incentive Plan, 25% of which restricted shares shall vest on March 15, 2024, and the remaining 75% of which shares shall vest pro rata over the twelve full calendar quarters immediately following March 15, 2024; (2) 156,069 restricted shares issued under the 2019 Trinity Capital Inc. Long Term Incentive Plan, which shares began quarterly vesting pro rata over the twelve full calendar quarters immediately following March 15, 2023; and (3) 204,793 restricted shares issued under the 2019 Trinity Capital Inc. Long Term Incentive Plan, which shares began quarterly vesting pro rata over the twelve full calendar quarters immediately following September 15, 2021.

Remarks:

Sarah Stanton is signing on behalf of Mr. K. Brown pursuant to the power of attorney dated September 17, 2021, which was previously filed with the Securities and Exchange Commission as an exhibit to the Form 4 Mr. K. Brown filed on September 17, 2021.

Kyle Brown

** Signature of Reporting Person

Date

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly \ or \ indirectly.$

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.