SEC Form 4
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# FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

I

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

to Section 16	. Form 4 or Form 5 ay continue. <i>See</i> b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	Estimated average burden hours per response: 0.		
			or Section 30(h) of the Investment Company Act of 1940			
1. Name and Add Kundich Ro		ງ Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Trinity Capital Inc.</u> [ TRIN ]	5. Relationship of (Check all applicat Director	10% Owner	
	(First) Y CAPITAL IN REET, 3RD FL		3. Date of Earliest Transaction (Month/Day/Year) 03/15/2022	below) Ch	below) ief Credit Officer	
(Street) PHOENIX (City)	AZ (State)	85004 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form filed	int/Group Filing (Check Applicab d by One Reporting Person d by More than One Reporting	le
		Table I - Non-D	Derivative Securities Acquired, Disposed of, or Bene	ficially Owned		_

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)					5. Amount of Securities Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	03/15/2022		A <sup>(1)</sup>		43,353	Α	\$ <mark>0</mark>	126,404 <sup>(2)</sup>	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Restricted shares issued under the 2019 Trinity Capital Inc. Long Term Incentive Plan. 25% of such restricted shares shall vest on March 15, 2023, and the remaining 75% of such shares shall vest pro rata over the twelve full calendar quarters immediately following March 15, 2023.

2. Includes 40,958 restricted shares issued under the 2019 Trinity Capital Inc. Long Term Incentive Plan, which shares began quarterly vesting pro rata over the twelve full calendar quarters immediately following the award date of September 15, 2021.

#### Remarks:

Sarah Stanton is signing on behalf of Mr. Kundich pursuant to the power of attorney dated September 17, 2021, which was previously filed with the Securities and Exchange Commission as an exhibit to the Form 4 Mr. Kundich filed on September 17, 2021.

#### /s/ Sarah Stanton, on behalf of 03/17/2022 Ron Kundich

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.