FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, [ | D.C. 20549 |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Brown Kyle Steven |   |       |   |              |  |   |              |   |                 |   |  |                       |   |   | k all app<br>Direc  | ,  |   |   | ner |
|---|---|-------|---|--------------|--|---|--------------|---|-----------------|---|--|-----------------------|---|---|---|--|---|---|-----|
|   | (Fir<br>NITY CAP<br>STREET, 3   |       | 3. Date of Earliest Transaction (Month/Day/Year) 12/08/2022 |              |  |   |              |   |                 |   |  | below)  President & C |   |   | below)  |  |   |   |     |
| (Street) PHOENI (City)                                      |   |       | 85004<br>(Zip)  |              | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |              |   |                 |   |  |                       |   | 6. Individual or Joint/Group Filing (Check Appli<br>Line)  X Form filed by One Reporting Person Form filed by More than One Reportin Person |   |  |   |   | on  |
|   |   | Table | e I - No  | n-Deriva     | tive S   | Secur   | ities        | Acq                                     | uired,          | Dis   | posed of   | , or B                | ene   | ficially  | / Own   | ed   |   |   |     |
| 1. Title of Security (Instr. 3)                             |   |       | 2. Transac<br>Date<br>(Month/Da                             |              | Execu  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |              | 3.<br>Transaction<br>Code (Instr.<br>8) |                 | 4. Securities Acquired (AD Disposed Of (D) (Instr. 3) |  | A) or<br>, 4 and      | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)   |  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                                       |   |     |
|   |   |       |   |              |  |   |              | Code V                                  |                 | Amount  | (A) or (D) Price   |                       | rice  | Reported Transaction(s) (Instr. 3 and 4)  |   |  |   | (Instr. 4)  |     |
| Common Stock  |   |       | 12/08/2   |              |  |   | P            |   | 23,000          | A   |  | \$10.38               | 42,494  |   | I   |  | By The<br>Kyle<br>and<br>Amy<br>Brown<br>Family<br>Frust,<br>dated<br>February<br>4, 2019 |   |     |
| Common  | Stock   |       |   |              |  |   |              |   |                 |   |  |                       |   |   | 661,  | 136(1)(2)                                    | D   |   |     |
| Common Stock  |   |       |   |              |  |   |              |   |                 |   |  |                       |   | 10  | ,825 <sup>(2)</sup>   | I  |   | By<br>KBIZ<br>Corp.,<br>which<br>Mr. K.<br>Brown<br>solely<br>owns<br>and<br>controls |     |
|   |   | Та    | ıble II -   |              |  |   |              |   |                 |   | osed of, o   |                       |   |   | Owne  | d  |   |   |     |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | Title of 2. 3. Transaction 3A. Deemed Execution Date curity or Exercise (Month/Day/Year) if any |       |   | 4.<br>Transa | 4.<br>Transaction<br>Code (Instr.                        |   | 5. Number of |   |                 | isable and  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Ins<br>3 and 4) |                       | 8. I<br>De<br>Sei<br>(In:                                     | Price of<br>rivative<br>curity<br>str. 5)   | 9. Number<br>derivative<br>Securities<br>Beneficial!<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | Owne<br>Form<br>Direct<br>or Ind<br>(I) (Ins | (D)<br>irect  | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                    |     |
| Explanation   | າ of Respons  | ses:  |   |              | Code   | v   | (A)          | (D)                                     | Date<br>Exercis | able  | Expiration<br>Date   | Title                 | Amo<br>or<br>Num<br>of<br>Shar                                | ber   |   |  |   |   |     |

## Explanation of Responses:

- 1. Includes 208,092 restricted shares issued under the 2019 Trinity Capital Inc. Long Term Incentive Plan. 25% of such restricted shares shall vest on March 15, 2023, and the remaining 75% of such shares shall vest pro rata over the twelve full calendar quarters immediately following March 15, 2023.
- 2. Includes 204,793 restricted shares issued under the 2019 Trinity Capital Inc. Long Term Incentive Plan, which shares began quarterly vesting pro rata over the twelve full calendar quarters immediately following the award date of September 15, 2021.

## Remarks:

Sarah Stanton is signing on behalf of Mr. K. Brown pursuant to the power of attorney dated September 17, 2021, which was previously filed with the Securities and Exchange Commission as an exhibit to the Form 4 Mr. K. Brown filed on September 17, 2021

> /s/ Sarah Stanton, on behalf of Kyle Brown

12/12/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.