Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APF	PPROVAL							
	OMB Number: 3235-028 Estimated average burden								
	hours nor resnons	۰ 05							

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Ward Richard R.</u>					2. Issuer Name and Ticker or Trading Symbol Trinity Capital Inc. [ TRIN ]										k all app Direc	ationship of Reporting all applicable)  Director		10% Ov	vner	
(Last) (First) (Middle) C/O TRINITY CAPITAL INC.					3. Date of Earliest Transaction (Month/Day/Year) 01/29/2021										Office below	er (give title v)		Other (s	specify	
3075 WEST RAY ROAD, SUITE 525					4. If A	If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) CHANDLER AZ 85226						Li										X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(St	ate) (2	Zip)																	
		Table	I - Noi	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	osed of	or E	Bene	ficiall	y Own	ed				
Date			Date			2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			, 4 and Secur Benef Owne		cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) (D)	or F	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common	Stock			01/29/2	2021			P		22,500	I	A	\$14	2	2,500	I	D			
Common Stock													20,343(1)			I	By the Richard R. and Lynda J. Ward Family Trust			
		Tal	ble II -	Derivati (e.g., pu	ve Se	curi	ties <i>l</i> warra	Acqui ants,	ired, D optior	oispo	osed of, o	or Be	neficurit	cially ies)	Owne	d				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year) i	3A. Dee Executi if any	BA. Deemed Execution Date, f any		4. Transaction Code (Instr. 8)		5. Number of			sable and te	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		8. De Se (Ir	Price of erivative ecurity estr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction( (Instr. 4)	y Di or (I)	o. wnership orm: irect (D) Indirect (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Code		v	(A)	(D)			Expiration Date	Title	Amor or Numl of Share	ber									

## **Explanation of Responses:**

1. Includes shares acquired pursuant to Trinity Capital Inc.'s distribution reinvestment plan.

## Remarks:

\* Scott Harvey is signing on behalf of Mr. Ward pursuant to the power of attorney dated March 16, 2020, which was previously filed with the Securities and Exchange Commission as an exhibit to the Form 3 Mr. Ward filed on March 16, 2020.

/s/ Scott Harvey, on behalf of Richard Ward (\*)

02/02/2021

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.